

## Acknowledgments and Reports

This chapter describes acknowledgments and reports that you will receive when transactions are received at the Enterprise EDI Gateway/Clearinghouse and routed to BlueChoice HealthPlan Medicaid for processing. The acknowledgments and reports allow providers, clearinghouses and billing services to properly track and manage their submissions.

For the 837 Health Care Claim process, refer to **Chapter IV, Transaction Processing**, describing the importance of each acknowledgment and report.

The following acknowledgments and report responses are generated:

### **Section 1 TA1 Report (Automated Alert for Failed Inbound Transactions)**

- A. Overview — Overview of the transaction and report
- B. Report Layout — Report layout and description of the TA1 Report

### **Section 2 997 Functional Acknowledgment (for Inbound Transactions)**

- A. Overview — Overview of the transaction
- B. Segments and Data Elements — Tables that identify the 997 segments and data elements including the Interchange and Functional Group segments
- C. ASC X12 Standard — Examples of the 997 ASC X12 Standard

### **Section 3 864 Text Message Transaction (Level 2 Status Report) - \*Details Vary Depending on Type of Transaction**

- A. Overview — Overview of the transaction and report
- B. Segments and Data Elements — Tables that identify the 864 segments and data elements including the Interchange and Functional Group segments
- C. ASC X12 Standard — Example of the 864 ASC X12 Standard
- D. Report Layout — Report layout and description of the Level 2 Status Report (in response to 837 Health Care Claim)
- E. Report Layout — Report layout and description of the Level 2 Status Report (in response to 270 Eligibility Inquiry)

## Section 1 864 Text Message Transaction (Trading Partner TA1 Report)

### A. Overview — 864 Text Message (Trading Partner TA1 Report)

The 864 Text Message Transaction set can be used to provide users with the capability to electronically move messages, contracts, explanations, and other one-time communications. Its purpose is to decode messages for the receiver in standard business language and not for computer processing.

BlueChoice HealthPlan Medicaid has adopted the 864 Text Message Transaction to package and deliver the Trading Partner TA1 Report. This transaction is used as a vehicle to transport this report to the Enterprise EDI Gateway/Clearinghouse for Trading Partners to retrieve, translate and review the report information. The TA1 Report is a formatted text document responsible for communicating the failure of an inbound transaction.

The Trading Partner TA1 report will be generated and sent to the submitter's Gateway mailbox when one of the following occurs:

- 1) Duplicate GS Control Number (unique file identifier)
- 2) Error that occurs based on the construction of the file (segment control error)
- 3) Gateway envelope error (ex. information out-of-sequence according to HIPAA guidelines).

### B. Report Layout — 864 Text Message (Trading Partner TA1 Report)

An example of the Trading Partner TA1 Report is presented below. In this sample, the 864 Text Message transaction was translated so that the enveloping segments (ISA/GS) and the segment identifiers (the MSG segments, for example) have been removed. Once the Trading Partner completes the translation process, a formatted report is available for review.

ENTERPRISE CLEARINGHOUSE  
TRADING PARTNER TA1 REPORT

*Identifies the specific message/report* ————

TRADING PARTNER ID #:   *Submitter ID*

REPORT RUNTIME: 06/16/06 1:00:00

FILE REJECT TIME: 06/15/06 01:47

*Reason for failed submission*

*Unique File Identifier* ————

----- START OF REPORT -----

SOURCE FILE NAME	TRANSACTION	RECEIPT DATE	ISA CONTROL #	GS RECEIVER ID	GS CONTROL #	REJECT REASON
<< X12 File name >> for duplicate GS control #	837 P	6/15/2006	00000001	BCBSCAIDSC	608060254	File rejected

----- END OF REPORT -----

PLEASE CONTACT YOUR LOCAL EDI HELPDESK AT  
800-470-9630  
WITH ANY QUESTIONS REGARDING THIS REPORT

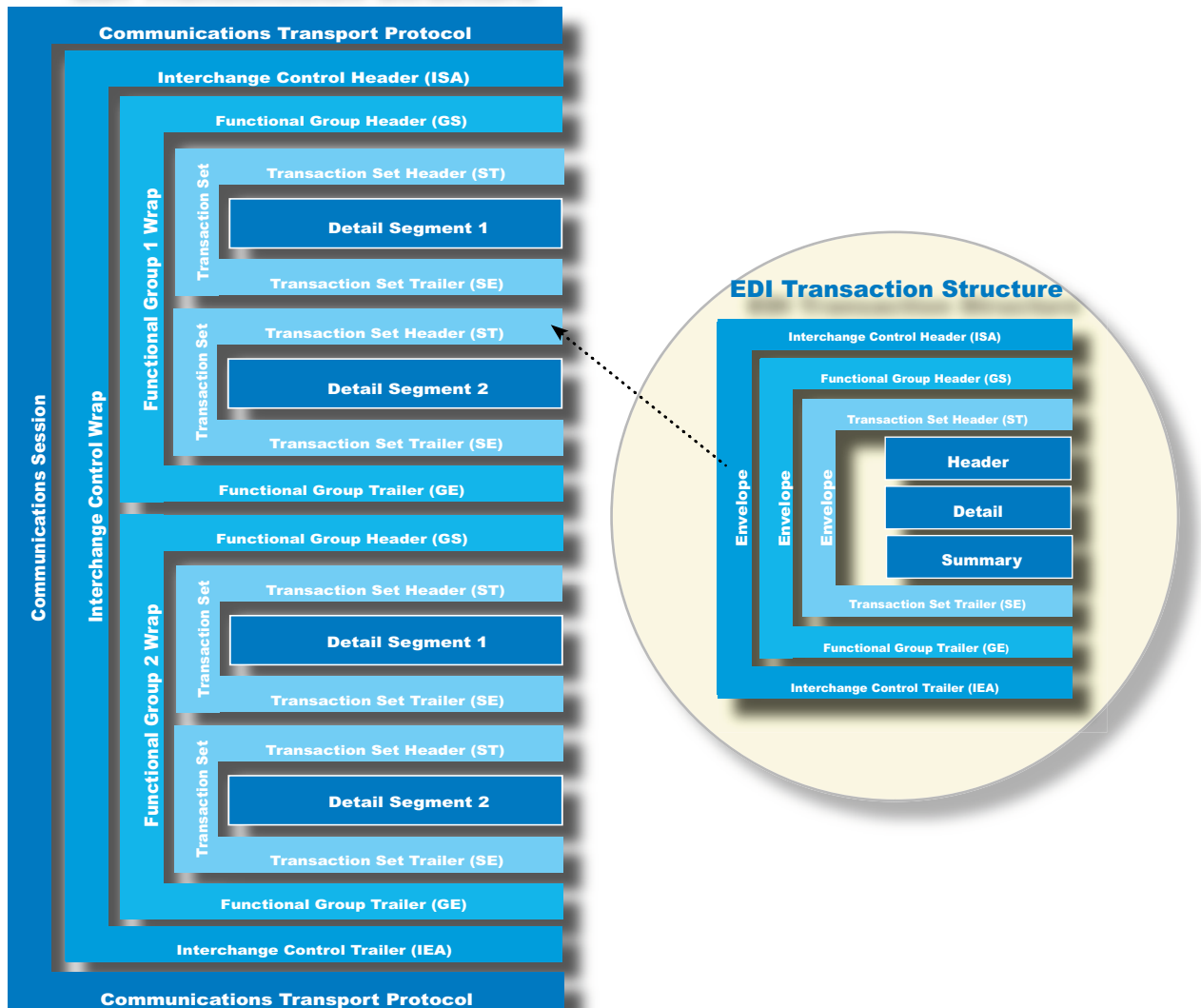
## Section 2 997 Functional Acknowledgment

### A. Overview — 997 Functional Acknowledgment

The Enterprise EDI Gateway/Clearinghouse generates a 997 Functional Acknowledgment in response to an EDI file submission; one 997 is returned for each interchange (ISA/IEA) accepted. This process applies Level 1 edits and reports the results of the syntactical analysis on the interchange envelope (ISA/IEA), functional group envelope (GS/GE), and transaction set (ST/SE).

Refer to your ASC X12N Implementation Guide for additional information on the 997 Functional Acknowledgment. Use the following tables as a supplement to the Implementation Guide. The tables provides information that is specific to BlueChoice HealthPlan Medicaid, in particular, the Interchange Control and Functional Group segments. This information does not modify the Implementation Guide.

#### EDI Transmission Structure



## B. Segment and Data Element Tables — 997 Functional Acknowledgment

### 997 Functional Acknowledgment — Interchange Control Header (ISA)

The ISA segment is the beginning, outermost envelope of the interchange. Containing authorization and security information, it identifies the sender, receiver, date, time, and interchange control number. Use the following table to supplement the Implementation Guide for control segments. The table provides information that is specific to BlueChoice HealthPlan Medicaid. This information does not modify the control segments in the Implementation Guide.

997 Functional Acknowledgment Interchange Control Header (ISA)			
Segment	Reference Designator(s)	Value	Definitions and Notes Specific to BlueChoice HealthPlan Medicaid
ISA Interchange Control Header	ISA01 Authorization Information Qualifier	00	00 - No Authorization Information Present
	ISA02 Authorization Information	(10 Spaces)	10 position value populated on inbound transaction.
	ISA03 Security Information Qualifier	00	00 - No Security Information Present
	ISA04 Security Information	(10 Spaces)	10 position value populated on inbound transaction.
	ISA05 Interchange ID Qualifier	ZZ	ZZ - Mutually Defined
	ISA06 Interchange Sender ID	BCBSAID	BCBSAID - BlueChoice HealthPlan Medicaid Left-justified followed by nine trailing spaces
	ISA07 Interchange ID Qualifier	ZZ	ZZ - Mutually Defined
	ISA08 Interchange Receiver ID	(Sender ID)	Submitter ID populated on inbound transaction.
	ISA09 Interchange Date	(YYMMDD)	Valid date in YYMMDD format.
	ISA10 Interchange Time	(HHMM)	Valid time in HHMM format.
	ISA11 Interchange Control Standards Identifier	U	U - U.S. EDI Community of ASC X12, TDCC, and UCS
	ISA12 Interchange Control Version Number	00401	00401 - Draft Standards for Trial Used Approved for Publication by ASC X12 Procedures Review Board through October 1997
	ISA13 Interchange Control Number	(Assigned by Sender)	<ul style="list-style-type: none"> <li>▪ Format - 9 position numeric</li> <li>▪ Unique value - not used in any previous HIPAA transmission within last 365 calendar days</li> <li>▪ right-justified, filled with leading zeroes</li> <li>▪ identical to value in IEA02</li> </ul>
	ISA14 Acknowledgment Requested	0	Regardless of value, 997 Functional Acknowledgment returned when valid enveloped X12 transaction processed
	ISA15 Usage Indicator	P, T	Sender ID must be approved to submit production data. P - Production Data   T - Test Data
	ISA16 Component Element Separator	( )	Vertical bar ( )

### 997 Functional Acknowledgment – Functional Group Header (GS)

The GS segment identifies the collection of transaction sets that are included within the functional group. More specifically, the GS segment identifies the functional control group, sender, receiver, date, time, group control number and version/release/industry code for the transaction sets. Use the following table to supplement the Implementation Guide for control segments. The table provides information that is specific to BlueChoice HealthPlan Medicaid. This information does not modify the control segments in the Implementation Guide.

997 Functional Acknowledgment Functional Group Header (GS)			
Segment	Reference Designator(s)	Value	Definitions and Notes Specific to BlueChoice HealthPlan Medicaid
<b>GS</b> Functional Group Header	<b>GS01</b> Functional Identifier Code	<b>FA</b>	FA - Functional Acknowledgment (997)
	<b>GS02</b> Application Sender's Code	<b>BCBSCAIDSC</b>	Routing from: BCBSCAIDSC - routing from BlueChoice HealthPlan Medicaid
	<b>GS03</b> Application Receiver's Code	<b>*(Receiver ID)</b>	*Sender ID populated on inbound transaction
	<b>GS04</b> Date	<b>(CCYYMMDD)</b>	Valid date in CCYYMMDD format.
	<b>GS05</b> Time	<b>(HHMM)</b>	Valid time in HHMM format.
	<b>GS06</b> Group Control Number	<b>(Assigned by BlueChoice HealthPlan Medicaid)</b>	<ul style="list-style-type: none"> <li>▪ Format - 9 position numeric</li> <li>▪ value must be greater than zero</li> <li>▪ Unique value - not used in any previous HIPAA transmission within last 365 calendar days</li> <li>▪ right-justified, filled with leading zeroes</li> <li>▪ identical to value in GE02</li> </ul>
	<b>GS07</b> Responsible Agency Code	<b>X</b>	X - Accredited Standards Committee X12
	<b>GS08</b> Version / Release / Industry Identifier Code	<b>004010A1</b>	Operationally used to identify the addenda to the 4010 version of the Implementation Guide

### 997 Functional Acknowledgment – Header

The 997 Header identifies the start of a transaction, the specific transaction set, and the transaction's business purpose. The following table explains the header segments and data elements.

997 Functional Acknowledgment Header			
Segment	Reference Designator(s)	Value	Definitions and Notes Specific to BlueChoice HealthPlan Medicaid
<b>ST</b> Transaction Set Header	<b>ST01</b> Trans. Set Identifier Code	<b>997</b>	Assigns Control Number
	<b>ST02</b> Trans. Set Control No.	<i>(Identical to SE02)</i>	
<b>AK1</b> Functional Group Response Header	<b>AK101</b> Functional Identifier Code	<b>HC</b>	1) Responds to functional group header 2) Starts acknowledgment of functional group
	<b>AK102</b> Group Control No.	<i>(Assigned by sender)</i>	
<b>Loop ID - AK2</b>			
<b>AK2</b> Transaction Set Response	<b>AK201</b> Trans. Set Identifier Code	<b>837</b>	Starts acknowledgment of transaction set within received functional group
	<b>AK202</b> Trans. Set Control No.	<i>(Assigned by originator)</i>	
<b>Loop ID - AK2/AK3</b>			
<b>AK3</b> Data Segment Note	<b>AK301</b> Segment ID Code	<i>(Code Source: 77)</i>	1) Reports errors in data segment 2) Identifies location
	<b>AK302</b> Segment Pos. in Trans.	<i>(Data Count)</i>	
	<b>AK303</b> Loop Identifier Code	<i>(LSILE Value)</i>	
	<b>AK304</b> Segment Syntax Error Code	<i>(See ASC X12N IG, Appendix B.21)</i>	
<b>AK4</b> Data Element Note	<b>AK401</b> Pos. in Segment	<i>(Relative position)</i>	Reports errors in data element
	<b>AK401-1</b> Element Pos. in	<i>(Relative position)</i>	
	<b>AK401-2</b> Component Data Elem. Pos. in Composite	<i>(Position of error in composite data element)</i>	
	<b>AK402</b> Data Element Reference	<i>(Code Source: 77)</i>	
	<b>AK403</b> Data Element Syntax Error Code	<i>(See ASC X12N IG, Appendix B.23)</i>	
<b>AK5</b> Transaction Set Response Trailer	<b>AK501</b> Trans. Set Ack. Code	<i>(Accept/Reject status)</i>	1) Acknowledges acceptance/rejection 2) Reports errors in transaction set
	<b>AK502-AK506</b> Transaction Set Syntax Error Code	<i>(See ASC X12N IG, Appendix B.25)</i>	
<b>AK9</b> Functional Group Response Trailer	<b>AK901</b> Funct. Group Ack. Code	<i>(Accept/Reject status)</i>	1) Acknowledges acceptance/rejection of functional group 2) Reports number of included, accepted, and received transaction sets
	<b>AK902</b> Number of Transaction Sets Included	<i>(Identical to original GE01)</i>	
	<b>AK903</b> Number of Received Transaction Sets	<i>(Number of received sets)</i>	
	<b>AK904</b> Number of Accepted Transaction Sets	<i>(Number of accepted sets)</i>	
	<b>AK905-AK909</b> Funct. Group Syntax Error Code	<i>(See ASC X12N IG, Appendix B.28)</i>	
<b>SE</b> Transaction Set Trailer	<b>SE01</b> Number of Included Segments	<i>(Number of segments, including ST and SE)</i>	Reports count of transmitted segments within ST/SE
	<b>SE02</b> Trans. Set Control No.	<i>(Identical to ST02)</i>	

### 997 Functional Acknowledgment — Functional Group Trailer (GE)

The GE segment indicates the end of the functional group and provides control information.

997 Functional Acknowledgment Functional Group Trailer (GE)			
Segment	Reference Designator(s)	Value	Definitions and Notes Specific to BlueChoice HealthPlan Medicaid
GE Functional Group Trailer	GE01 Number of Transaction Sets Included	(n)	<ul style="list-style-type: none"> <li>Format - 1-6 positions, numeric</li> <li>Left-justified with no leading zeroes</li> </ul>
	GE02 Group Control Number	(Identical to GS06)	<ul style="list-style-type: none"> <li>Format - 1-9 positions, numeric</li> <li>Left-justified with no leading or trailing zeroes</li> <li>identical to value in GS06</li> </ul>

### 997 Functional Acknowledgment — Interchange Control Trailer (IEA)

The IEA segment is the ending, outermost level of the interchange. It indicates the number of functional groups included with the interchange and the interchange control number (the same number indicated in the ISA segment).

997 Functional Acknowledgment Interchange Control Trailer (IEA)			
Segment	Reference Designator(s)	Value	Definitions and Notes Specific to BlueChoice HealthPlan Medicaid
IEA Interchange Control Trailer	IEA01 Number of Included Functional Groups	(n)	<ul style="list-style-type: none"> <li>Format - 1-5 positions, numeric</li> <li>Left-justified with no leading or trailing zeroes</li> <li>Value equal to the number of functional groups (GS/GE pairs) included in the interchange.</li> </ul>
	IEA02 Interchange Control Number	(Identical to ISA13)	<ul style="list-style-type: none"> <li>Format - 9 positions, numeric</li> <li>Unique value greater than zero</li> <li>Identical to value in ISA13</li> </ul>

### C. Examples ASC X12 Standard – 997 Functional Acknowledgment


The following examples of a 997 Functional Acknowledgment were aligned with each segment beginning in the left margin so that you could conveniently read the transaction segments from top to bottom. Normally, EDI transmissions are a continuous stream of characters. However, we have unwrapped them (by segment) in each example for clarity.

It is also important to note that the 997 Functional Acknowledgments are not intended to be manually interpreted. These transactions are processed by the trading partners' translation software. The examples clearly show that the information (results of Level 1 editing) is not returned in an easy-to-read, formatted report.

Refer back to the tables in this section that describe the data elements within each segment in order to interpret and understand these examples.


```

ISA*00*                *00*                *ZZ*BCBSCAID                *ZZ*SC12345I
*021024*1357*U*00401*000000145*0*T*:~
GS*FA*BCBSCAIDSC*SC12345I*20021024*135724*1450001*X*004010X096~
ST*997*0001~
AK1*HC*72001~          Group Control Number
AK2*837*000000001~    Links 997 back to inbound 837 Transaction
AK5*A~                Transaction Set Response,
AK9*A*1*1*1~          Accepted (A)
SE*6*0001~
GE*1*1450001~
IEA*1*000000145~
    
```



```

ISA*00*                *00*                *ZZ*BCBSCAID                *ZZ*SC12345I
*021114*1017*U*00401*0000000038*0*T*:~
GS*FA*BCBSCAIDSC*SC12345I*20021114*101722*380001*X*004010X096~
ST*997*0001~
AK1*HC*21001~          Transaction Set Control Number
AK2*837*000000001~    Links 997 back to inbound 837 Transaction
AK5*R*4~                Transaction Set Response,
AK9*R*1*1*0~          Rejected (R)
SE*6*0001~
GE*1*380001~
IEA*1*0000000038~
    
```



```

ISA*00*                *00*                *ZZ*BCBSAID          *ZZ*SC12345I
*021202*1011*U*00401*000000157*0*T*:~
GS*FA*BCBSAIDSC*SC12345I*20021202*101141*1570001*X*004010X096~
ST*997*0001~
AK1*HC*69001~
AK2*837*000000001~ Transaction Set,
AK5*A~ Group Control #69001*,
AK9*A*1*1*1~ Accepted (A)
SE*6*0001~
ST*997*0002~
AK1*HC*69002~ Transaction Set,
AK2*837*000000002~ Group Control #69002*,
AK3*PWK*2*8~ Rejected (R),
AK4*5*5*FPC~ PWK Segment Error
AK5*R*5~
AK9*R*1*1*0~
SE*8*0002~
ST*997*0003~ Transaction Set,
AK1*HC*69003~ Group Control #69003*,
AK2*837*000000003~ Accepted (A)
AK5*A~
AK9*A*1*1*1~
SE*6*0003~
GE*3*1570001~
IEA*1*000000157~
  
```

\*Links 997 back to inbound 837 Transaction

with errors

## Section 3 864 Text Message Transaction (Level 2 Status Report)

### A. Overview — 864 Text Message (Level 2 Status Report)

The 864 Text Message Transaction set can be used to provide users with the capability to electronically move messages, contracts, explanations, and other one-time communications. Its purpose is to decode messages for the receiver in standard business language and not for computer processing.

BlueChoice HealthPlan Medicaid has adopted the 864 Text Message Transaction to package and deliver the Level 2 Status Report. This transaction is used as a vehicle to transport this report to the Enterprise EDI Gateway/Clearinghouse for Trading Partners to retrieve, translate and review the report information. The Level 2 Status Report is a formatted text document responsible for communicating the results from the second level (Level 2) editing process. Implementation Guide level edits are considered Level 2. (Refer to **Chapter IV, Transaction Processing**, for details on Edit Levels.)

The tables on the subsequent pages detail the 864 Text Message Transaction for the Level 2 Status Report. They are similar to those for the Response Report Claim Detail. However, the difference lies in the actual formatted report within the MSG segments. The title appearing on this report is identified as "Level 2 Status Report" (MIT02).

**NOTE - The details of the Level 2 Status Report varies depending on the transaction. For illustrative purposes, the following segment and data element tables contain data specific to the 837 health care claim transaction.**

## B. Segment and Data Element Tables – 864 Text Message (Level 2 Status Report)

### 864 Text Message (Level 2 Status Report) – Interchange Control Header (ISA)

The ISA segment is the beginning, outermost envelope of the interchange. Containing authorization and security information, it identifies the sender, receiver, date, time, and interchange control number. Use the following table to supplement the Implementation Guide for control segments. The table provides information that is specific to BlueChoice HealthPlan Medicaid. This information does not modify the control segments in the Implementation Guide.

864 Text Message (Level 2 Status Report) Interchange Control Header (ISA)			
Segment	Reference Designator(s)	Value	Definitions and Notes Specific to BlueChoice HealthPlan Medicaid
ISA Interchange Control Header	ISA01 Authorization Information Qualifier	00	00 - No Authorization Information Present
	ISA02 Authorization Information	(10 Spaces)	10 position value populated on inbound transaction.
	ISA03 Security Information Qualifier	00	00 - No Security Information Present
	ISA04 Security Information	(10 Spaces)	10 position value populated on inbound transaction.
	ISA05 Interchange ID Qualifier	ZZ	ZZ - Mutually Defined
	ISA06 Interchange Sender ID	BCBSCAID	BCBSCAID - BlueChoice HealthPlan Medicaid Left-justified followed by nine trailing spaces
	ISA07 Interchange ID Qualifier	ZZ	ZZ - Mutually Defined
	ISA08 Interchange Receiver ID	(Sender ID)	Submitter ID populated on inbound transaction.
	ISA09 Interchange Date	(YYMMDD)	Valid date in YYMMDD format.
	ISA10 Interchange Time	(HHMM)	Valid time in HHMM format.
	ISA11 Interchange Control Standards Identifier	U	U - U.S. EDI Community of ASC X12, TDCC, and UCS
	ISA12 Interchange Control Version Number	00401	00401 - Draft Standards for Trial Used Approved for Publication by ASC X12 Procedures Review Board through October 1997
	ISA13 Interchange Control Number	(Assigned by Sender)	<ul style="list-style-type: none"> <li>▪ Format - 9 position numeric</li> <li>▪ Unique value - not used in any previous HIPAA transmission within last 365 calendar days</li> <li>▪ right-justified, filled with leading zeroes</li> <li>▪ identical to value in IEA02</li> </ul>
	ISA14 Acknowledgment Requested	0	Regardless of value, 997 Functional Acknowledgment returned when valid enveloped X12 transaction processed
	ISA15 Usage Indicator	P, T	Sender ID must be approved to submit production data. P - Production Data   T - Test Data
	ISA16 Component Element Separator	()	Vertical bar ( )

### 864 Text Message (Level 2 Status Report) – Functional Group Header (GS)

The GS segment identifies the collection of transaction sets that are included within the functional group. More specifically, the GS segment identifies the functional control group, sender, receiver, date, time, group control number and version/release/industry code for the transaction sets. Use the following table to supplement the Implementation Guide for control segments. The table provides information that is specific to BlueChoice HealthPlan Medicaid. This information does not modify the control segments in the Implementation Guide.

864 Text Message (Level 2 Status Report) Functional Group Header (GS)			
Segment	Reference Designator(s)	Value	Definitions and Notes Specific to BlueChoice HealthPlan Medicaid
<b>GS</b> Functional Group Header	<b>GS01</b> Functional Identifier Code	<b>TX</b>	TX - Text Message (864)
	<b>GS02</b> Application Sender's Code	<b>BCBSCAIDSC</b>	Routing from: BCBSCAIDSC - routing from BlueChoice HealthPlan Medicaid
	<b>GS03</b> Application Receiver's Code	<b>*(Receiver ID)</b>	*Sender ID populated on inbound transaction
	<b>GS04</b> Date	<b>(CCYYMMDD)</b>	Valid date in CCYYMMDD format.
	<b>GS05</b> Time	<b>(HHMM)</b>	Valid time in HHMM format.
	<b>GS06</b> Group Control Number	<b>(Assigned by BlueChoice HealthPlan Medicaid)</b>	<ul style="list-style-type: none"> <li>▪ Format - 9 position numeric</li> <li>▪ value must be greater than zero</li> <li>▪ Unique value - not used in any previous HIPAA transmission within last 365 calendar days</li> <li>▪ right-justified, filled with leading zeroes</li> <li>▪ identical to value in GE02</li> </ul>
	<b>GS07</b> Responsible Agency Code	<b>X</b>	X - Accredited Standards Committee X12
	<b>GS08</b> Version / Release / Industry Identifier Code	<b>004010A1</b>	Operationally used to identify the addenda to the 4010 version of the Implementation Guide

### 864 Text Message (Level 2 Status Report) – Header

The Header identifies the start of a transaction set. Specifically, the header for the 864 indicates the beginning of the Text Message Transaction Set. Within the header, the control number, purpose, and description of the message are identified. Use the following table to supplement the 864 Implementation Guide. The table provides information that is specific to BlueChoice HealthPlan Medicaid. This information does not modify the 864 Implementation Guide.

864 Text Message (Level 2 Status Report) Header			
Segment	Reference Designator	Value	Descriptions and Notes Specific to BlueChoice HealthPlan Medicaid
<b>ST</b> Transaction Set Header	<b>ST01</b> Transaction Set Identifier Code	<b>864</b>	864 - Text Message
	<b>ST02</b> Transaction Set Control Number	<b>(Assigned by Sender)</b>	Unique value
<b>BMG</b> Beginning of Text Message	<b>BMG01</b> Transaction Set Purpose Code	<b>08</b>	08 - Original
	<b>BMG02</b> Description	<b>PHYSICIAN EMC INPUT TRANSACTION REPORT</b>	Identifies the type of reports (in response to 837 Health Care Claim)

### 864 Text Message (Level 2 Status Report) – Detail

The Detail of the 864 identifies the beginning of a specific message and provides the text information. Use the following table to supplement the 864 Implementation Guide. The table provides information that is specific to BlueChoice HealthPlan Medicaid. This information does not modify the 864 Implementation Guide.

864 Text Message (Level 2 Status Report) Detail			
Segment	Reference Designator	Value	Definitions and Notes Specific to BlueChoice HealthPlan Medicaid
<b>Loop ID – MIT</b>			
<b>MIT</b> Message Identification	<b>MIT01</b> Reference	<b>(Assigned by originator)</b>	Unique value
	<b>MIT02</b> Description	<b>LEVEL 2 REPORT</b>	Identifies Level 2 report sent to the submitters
<b>MSG</b> Message Text	<b>MSG01</b> Free-Form Message Text	<b>(Formatted Report Lines)</b>	Actual formatted report

### 864 Text Message (Level 2 Status Report) – Summary

The Summary of the 864 indicates the end of the transaction set and provides the count of the transmitted segments.

864 Text Message (Level 2 Status Report) Summary			
Segment	Reference Designator(s)	Value	Definitions and Notes Specific to BlueChoice HealthPlan Medicaid
<b>SE</b> Transaction Set Trailer	<b>SE01</b> Number of Included Segments	<i>(Count)</i>	Total number of segments in the transaction set, including the ST and SE segments.
	<b>SE02</b> Transaction Set Control Number	<i>(Identical to ST02)</i>	Unique value

### 864 Text Message (Level 2 Status Report) – Functional Group Trailer (GE)

The GE segment indicates the end of the functional group and provides control information.

864 Text Message (Level 2 Status Report) Functional Group Header (GE)			
Segment	Reference Designator(s)	Value	Definitions and Notes Specific to BlueChoice HealthPlan Medicaid
<b>GE</b> Functional Group Trailer	<b>GE01</b> Number of Transaction Sets Included	<i>(n)</i>	<ul style="list-style-type: none"> <li>Format - 1-6 positions, numeric</li> <li>Left-justified with no leading zeroes</li> </ul>
	<b>GE02</b> Group Control Number	<i>(Identical to GS06)</i>	<ul style="list-style-type: none"> <li>Format - 1-9 positions, numeric</li> <li>Left-justified with no leading or trailing zeroes</li> <li>identical to value in GS06</li> </ul>

### 864 Text Message (Level 2 Status Report) – Interchange Control Trailer (IEA)

The IEA segment is the ending, outermost level of the interchange. It indicates the number of functional groups included with the interchange and the interchange control number (the same number indicated in the ISA segment).

864 Text Message (Level 2 Status Report) Interchange Control Trailer (IEA)			
Segment	Reference Designator(s)	Value	Definitions and Notes Specific to BlueChoice HealthPlan Medicaid
<b>IEA</b> Interchange Control Trailer	<b>IEA01</b> Number of Included Functional Groups	<i>(n)</i>	<ul style="list-style-type: none"> <li>Format - 1-5 positions, numeric</li> <li>Left-justified with no leading or trailing zeroes</li> <li>Value equal to the number of functional groups (GS/GE pairs) included in the interchange.</li> </ul>
	<b>IEA02</b> Interchange Control Number	<i>(Identical to ISA13)</i>	<ul style="list-style-type: none"> <li>Format - 9 positions, numeric</li> <li>Unique value greater than zero</li> <li>Identical to value in ISA13</li> </ul>

### C. Example ASC X12 Standard — 864 Text Message (Level 2 Status Report)

An example of the 864 Test Message transaction containing the Level 2 Status Report is provided on the following page. It was aligned with each segment beginning in the left margin so that you could conveniently read the text message from top to bottom. As described in Section 1, normally, the EDI transmissions are a continuous stream of characters. However, we have unwrapped them (by segment) in each example for clarity. The series of message (MSG) segments contain the formatted Level 2 Status Report as illustrated in the example.

ISA\*00\* \*00\* \*ZZ\*BCBSCAID \*ZZ\*SC12345I \*060322\*1615\*U\*00401\*000041928\*0\*P\*|~  
 GS\*TX\*BCBSCAIDSC\*SC12345I\*20060322\*161400\*419280001\*X\*004010~  
 ST\*864\*0001~  
 BMG\*08\*PHYSICIAN EMC INPUT TRANSACTION REPORT\*03~  
 MIT\*164177\*LEVEL 2 REPORT~

*Text Message Transaction Identifier*

ENTERPRISE CLEARINGHOUSE  
 LEVEL 2 STATUS REPORT

*Identifies the specific message/report  
 Group Control Numbers link  
 report information back to  
 inbound 837 Transaction*

MSG\* SENDER ID #: SC12345I TRANSACTION: 837 I  
 MSG\* SENDER NAME: CLARIAN HEALTH PARTNERS TEST/PROD: T  
 MSG\* FILE NAME: HCL1234567899 RECEIPT DATE: 20060324  
 MSG\* GS RECEIVER ID: BCBSCAIDSC REPORT RUNTIME: 03/24/06 11:54:35

ISA CONTROL #: 000000721  
 GS CONTROL #: 721

----- START OF PROVIDER -----  
 MSG\* NPI ID #: 111111112  
 MSG\* PROVIDER ID #: 777777777777 ST CONTROL #: 0000721  
 MSG\* PROVIDER NAME: CLARIAN HEALTH PARTNERS BATCH CONTROL #: BLUE721 20060324

MSG* STATUS	PATIENT NAME	SUBSCRIBER ID	DATE OF SERVICE	TOTAL CHARGE	PAYER DOCUMENT CONTROL #
MSG* FAIL	GROVES, GROVER 116116116	MWAN1111111	20050830-20050830	\$2,392.00	ZV06083113959511635
MSG*	Error #: 32301 InvalidData: MWAN1111111 Location: 2010BA-NM109 Error: Member ID (Loop 2010BA, NM109) is invalid.				
MSG* FAIL	OCONNOR, CONNOR 120120321-01	REV310000000	20060125-20060125	\$2,432.85	ZV06083113959511671
MSG*	Error #: 32372 InvalidData: Location: 2400-HL Error: The Claim Original Reference Number (Loop 2300, REF02) is required when the Claim Frequency Code (Loop 2300, CLM05-03) equals 7 or 8.				
MSG* PASS	BANTER, BOB 119911119	YRP666M55555	20051228-20051228	\$6,139.10	ZV06083113959511602
MSG* PASS	BLAND, BLAKE 118118118	YRP313313313	20051115-20051115	\$1,337.00	ZV06083113959511603
MSG* PASS	CHAPPLE, CHARLIE 118833556	PWRAN0606060	20051117-20051117	\$33.50	ZV06083113959511610

----- PROVIDER SUMMARY -----

	CLAIM COUNT	CHARGES	PERCENTAGE
PASSED	3	\$7,509.60	66.67%
FAILED	2	\$4,824.85	33.33%
TOTAL SUBMITTED	5	\$12,334.45	

Error #'s in the range of 32000 - 32999 indicates 'Business' type edits.

----- END OF PROVIDER -----  
 ----- START OF REPORT TOTALS -----

REPORT CLAIM SUMMARY:

	CLAIM COUNT	CHARGES	PERCENTAGE
PASSED	3	\$7,509.60	66.67%
FAILED	2	\$4,824.85	33.33%
TOTAL SUBMITTED	5	\$12,334.45	

REPORT ERROR SUMMARY:

ERROR #	OCCURRENCES	PERCENTAGE
32301	1	50%
32372	1	50%

*Message (MSG) segments contain actual formatted report*

The EDI Gateway daily processing completes at 5:00 PM EST each business day.  
 Files that process after 5PM EST will be given the receipt date of the following business day.  
 PLEASE CONTACT YOUR LOCAL EDI HELPDESK AT  
 800-470-9630  
 WITH ANY QUESTIONS REGARDING THIS REPORT

----- END OF REPORT -----

### D. Report Layout — 864 Text Message (Level 2 Status Report) for 837I

After the translation process, a formatted Level 2 Status Report is available for review. For purposes of illustration, the Level 2 Report has been separated on the following pages.

**1** ENTERPRISE CLEARINGHOUSE  
LEVEL 2 STATUS REPORT

**2** SENDER ID #: SC12345I  
 SENDER NAME: CLARIAN HEALTH PARTNERS  
 FILE NAME: HC1234567899  
 GS RECEIVER ID: BCBSCAIDSC

**3** TRANSACTION: 837 I  
 TEST/PROD: T  
 RECEIPT DATE: 20060324  
 REPORT RUNTIME: 03/24/06 11:54:35

**4** ISA CONTROL #: 00000721  
 GS CONTROL #: 721

----- START OF PROVIDER -----

**5** NPI ID #: 111111112  
 PROVIDER ID #: 77777777777  
 PROVIDER NAME: CLARIAN HEALTH PARTNERS

ST CONTROL #: 0000721  
 BATCH CONTROL #: BLUE721 20060324

STATUS CODE	PATIENT NAME ACCOUNT NO	SUBSCRIBER ID	DATE OF SERVICE	TOTAL CHARGE	PAYER DOCUMENT CONTROL #
FAIL	GROVES, GROVER 116116116	MWAN1111111	20050830-20050830	\$2,392.00	ZV06083113959511635
<b>6</b> Error #: 32301 InvalidData: MWAN1111111 Location: 2010BA-NM109 Error: Member ID (Loop 2010BA, NM109) is invalid.					
FAIL	OCONNOR, CONNOR 120120321-01	REV310000000	20060125-20060125	\$2,432.85	ZV06083113959511671
<b>6</b> Error #: 32372 InvalidData: Location: 2400-HL Error: The Claim Original Reference Number (Loop 2300, REF02) is required when the Claim Frequency Code (Loop 2300, CLM05-03) equals 7 or 8.					
PASS	BANTER, BOB 119911119	YRP666M55555	20051228-20051228	\$6,139.10	ZV06083113959511602
PASS	BLAND, BLAKE 118118118	YRP313313313	20051115-20051115	\$1,337.00	ZV06083113959511603
PASS	CHAPPLE, CHARLIE 118833556	PWRAN0606060	20051117-20051117	\$33.50	ZV06083113959511610

- 1 Report Name** Enterprise Clearinghouse Level 2 Status Report
- 2 Sender, Sender Name, File Name, GS Receiver ID** Identifiers retrieved from the claim file
- 3 Transaction Type** specified on the claim file
- 4 Interchange Control Number (ISA), Function Group Control Number (GS)** identified on claim file
- 5 NPI ID #, Provider ID #, Provider Name** for associated claims in claim file
- 6 Error Identification** Information to identify the specific segment(s) and data element(s) in error and reason for rejection/failure

----- PROVIDER SUMMARY -----

7

	CLAIM COUNT	CHARGES	PERCENTAGE
PASSED	3	\$7,509.60	66.67%
FAILED	2	\$4,824.85	33.33%
TOTAL SUBMITTED	5	\$12,334.45	

Error #'s in the range of 32000 - 32999 indicates `Business' type edits.

8

----- END OF PROVIDER -----

----- START OF REPORT TOTALS -----

REPORT CLAIM SUMMARY:

9

	CLAIM COUNT	CHARGES	PERCENTAGE
PASSED	3	\$7,509.60	66.67%
FAILED	2	\$4,824.85	33.33%
TOTAL SUBMITTED	5	\$12,334.45	

REPORT ERROR SUMMARY:

10

ERROR #	OCCURRENCES	PERCENTAGE
32301	1	50%
32372	1	50%

The EDI Gateway daily processing completes at 5:00 PM EST each business day.  
Files that process after 5PM EST will be given the receipt date of the following business day.

PLEASE CONTACT YOUR LOCAL EDI HELPDESK AT  
800-470-9630  
WITH ANY QUESTIONS REGARDING THIS REPORT

----- END OF REPORT -----

- 7 Totals of Submitted Claims (Passed and Failed) with associated charges
- 8 Separation between provider claims within claim file
- 9 Report Claim Summary Total number of claims passed and failed in claim file
- 10 Report Error Summary Listing of the specific errors, and instances for claims failed in claim file

### E. Report Layout — 864 Text Message (Level 2 Status Report) for 270

The following is an example of a Level 2 Status Report (wrapped with MSG segments) in response to the 270 Eligibility Inquiry.

```

ISA*00*          *00*          *ZZ*BCBSAID          *ZZ*SC12345P          *070918*1225*U*00401*000989247*0*T*|~
GS*TX*BCBSAID DSC*SC12345P*20070918*122300*892470001*X*004010~
ST*864*0001~
BMG*08*PHYSICIAN EMC INPUT TRANSACTION REPORT*03~
MIT*231123*HS LEVEL 2 REPORT~
MSG*
MSG*
MSG*
MSG*
MSG* SENDER ID #:          SC12345P
MSG* SENDER NAME:         PROVIDER
MSG* FILE NAME:           HS0918120535
MSG* GS RECEIVER ID:     BCBSAID DSC
MSG*
MSG*----- START OF PROVIDER -----
MSG*
MSG*
MSG* NPI #:
MSG* PROVIDER ID #:       999999999
MSG* PROVIDER NAME:      ABC COMPANY HEALTH SYSTEM
MSG*
MSG* ST CONTROL #:        9876543
MSG* BATCH CONTROL #:    ABCDEF 123456789-00
MSG*
MSG* STATUS PATIENT NAME SUBSCRIBER ID
MSG* CODE REFERENCE NO
MSG*
MSG* PASS GRI SWOLD, CLARK ZZZZ1234567
MSG*----- PROVIDER SUMMARY -----
MSG*
MSG* ELIGIBILITY COUNT PERCENTAGE
MSG*
MSG* PASSED 1 100.00%
MSG* FAILED 0 0.00%
MSG* TOTAL SUBMITTED 1
MSG*----- END OF PROVIDER -----
MSG*----- START OF REPORT TOTALS -----
MSG*
MSG* REPORT TOTALS:
MSG*
MSG* ELIGIBILITY COUNT PERCENTAGE
MSG*
MSG* PASSED 1 100.00%
MSG* FAILED 0 0.00%
MSG* TOTAL SUBMITTED 1
MSG*----- END OF PROVIDER -----
MSG*
MSG* The EDI Gateway daily processing completes at 5:00 PM EST each business day.
MSG* Files that process after 5PM EST will be given the receipt date of the following business day.
MSG*
MSG* PLEASE CONTACT YOUR LOCAL EDI HELPDESK AT
MSG* 800-470-9630
MSG* WITH ANY QUESTIONS REGARDING THIS REPORT
MSG*----- END OF REPORT -----
  
```

- 1 Report Name Enterprise Clearinghouse Level 2 Status Report
- 2 Sender, Sender Name, File Name, GS Receiver ID Identifiers retrieved from the eligibility file
- 3 Transaction Type specified on the eligibility file
- 4 Interchange Control Number (ISA), Function Group Control Number (GS) identified on eligibility file
- 5 NPI ID #, Provider ID #, Provider Name for associated inquiries in eligibility file
- 6 Provider Summary Information to identify the specific segment(s) and data element(s) in error and reason for rejection/failure
- 7 Report Totals Summarizes the counts in eligibility file